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# Defining the neurotoxin derived illness chronic ciguatera using markers of chronic systemic inflammatory disturbances: A case/control study

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#### ABSTRACT

Background: Ciguatoxins are extremely potent neurotoxins, produced by tropical marine dinoflagellates, that 23 persistently enter into our food web. Over 100,000 people annually experience acute ciguatera poisoning 24 from consuming toxic fish. Roughly 5% of these victims will develop chronic ciguatera (CC), a widespread, 25 multisymptom, multisystem, chronic illness that can last tens of years. CC is marked by disproportionate 26 disability and non-specific refractory symptoms such as fatigue, cognitive deficits and pain, and is suggestive 27 of other illnesses. Its unknown pathophysiology makes both diagnosis and treatment difficult.

Objectives: We wanted to compare objective parameters of visual contrast sensitivity testing, measures of 29 innate immune response and genetic markers in cases to controls to assess the potential for the presence of 30 persistent inflammatory parameters that are demonstrated in other biotoxin associated illnesses at a single 31 specialty clinic.

Methods: Using 59 CC cases and 59 controls we present in retrospective review, in all cases, abnormalities in 33 immune responses paralleling the chronic systemic inflammatory response syndrome seen in several other 34 chronic diseases.

Results: This study defines a preliminary case definition using medical history, total symptoms, visual 36 contrast sensitivity, HLA DR genotype analysis, reduction of regulatory neuropeptides VIP and MSH, and 37 multiple measures of inflammatory immune response, especially C4 and TGFβ1, thereby providing a basis for 38 identification and targeted therapy.

Conclusions: CC provides a model for chronic human illness associated with initiation of inflammatory  $\frac{40}{40}$  responses by biologically produced neurotoxins.

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Abbreviations: ACLA, anti-cardiolipin antibodies; ACTH, adrenocorticotrophic hormone; ADH, antidiuretic hormone; AGA, anti-gliadin antibodies; CBC, complete blood count; CC, chronic ciguatera; CIRS, chronic inflammatory response syndrome; CRP, C-reactive protein; CSM, cholestyramine; CTX, ciguatoxin; C3a, split activation product of C3; C4, fourth member of complement system; C4a, split activation product of C4; EAE, experimental autoimmune encephalitis; FACT, functional acuity contrast test (®); GGTP, gamma-glutamyl transpeptidase; HLA DR, Human leukocyte antigen Class II, DR locus; MASP, mannose binding lectin associated protease 2; MSH, alpha melanocyte stimulating hormone; MMP9, matrix metalloproteinase 9; PAI-1, plasminogen activation inhibitor-1; TGF, beta-1 transforming growth factor beta-1; T reg, T regulatory cell; TSH, thyroid stimulating hormone; VCS, visual contrast sensitivity; VEGF, vascular endothelial growth factor; VGSC, voltage gated sodium channel; VIP, vasoactive intestinal polypeptide; vWF, von Willebrand's profile.

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#### 1. Introduction

Ciguatera, the most common marine poisoning worldwide, is 48 acquired after ingestion of toxins produced by the tropical marine 49 dinoflagellate Gambierdiscus spp. The most incriminated toxins in this 50 illness are ciguatoxins (CTX), a suite of colorless, odorless, heat stable, 51 cyclic polyether neurotoxins that are potent activators of voltage 52 gated sodium channels. Ciguatoxins are biotransformed and biomag- 53 nified through trophic transfer in multiple fish species, and by recent 54 estimates result in more than 100,000 cases annually of ciguatera fish 55 poisoning, while considerable under-reporting still exists [17]. 56 Ciguatoxin congeners from the Pacific and Indian Oceans, and the 57 Caribbean Sea differ slightly in their structures and toxicities, which 58 may underlie the variability of symptoms following exposure in these 59 regions [27]. The predominant congener found in fish flesh of the 60 Pacific, Pacific ciguatoxin 1 (P-CTX-1), can cause human illness at 61 0.1 ppb and is roughly 10 times more potent than the most common 62 Caribbean congener, Caribbean ciguatoxin 1 (C-CTX-1) [26]. This 63 extreme potency makes detection of ciguatoxins in fish difficult even 64 in the most advanced research labs. Species of  $\it Gambieridiscus$  prefer to  $\it 65$ 

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live as epiphytes on macroalgae, which can now be found dominating newly bleached coral reefs. Given the acceleration of global coral bleaching, there are reasonable public health concerns regarding expansion of habitat for this toxic dinoflagellate. Elimination of ciguatoxins from fish is reported to be slow [26], which may serve as a reservoir for toxin accumulation in the food web.

Recognition of acute ciguatera poisoning is based on a history of near-immediate onset of a multisystem, multisymptom illness acquired after eating piscivorous reef fish, although many herbivorous fish are also toxic. Acute symptoms usually are (i) gastrointestinal, especially nausea, vomiting and diarrhea; (ii) neurologic, with numbness, tingling, paresthesias and dysesthesias; (iii) general symptoms of fatigue, weakness and peripheral pain [31]. Most patients recover without treatment. However, approximately 5% of patients develop a chronic illness, often lasting years, termed chronic ciguatera (CC) [37]. CC is characterized by persistent symptoms including fatigue, cognitive deficits, chronic pain and respiratory restriction, in addition to symptoms that may not resolve from the acute stage. The exact prevalence of CC cases is unknown due to the lack of a case definition, the inability to routinely associate the chronic illness with a distant point source exposure, and the potential for misdiagnosis as there are no diagnostic markers that would separate CC from other chronic disorders. The occurrence of ciguatera cases both in the tropics, where fresh fish may be consumed, and distant areas where ciguatoxic fish may be exported further confounds diagnosis. Without biomarkers or understanding the chronic syndrome's pathophysiology, chances for therapies targeted to specific mechanisms in CC are remote.

Currently, treatment of ciguatera is limited. Native islanders commonly turn to herbal remedies whose characterization is ongoing [7], but the efficacy of such therapy still remains to be determined. Although a double blinded trial showed no significant difference in outcome between saline and the osmolyte mannitol [44], use of mannitol in acute cases reportedly provides benefit if administered shortly after exposure. Likewise, little therapy exists for the chronic syndrome. Since 1999, the Center for Research on Biotoxin Associated Illnesses (author affiliation, RS) has treated over 200 CC patients using cholestyramine (CSM), an orally administered, non-absorbable anion-binding resin as the first step in sequential therapies. Use of CSM consistently reduced symptoms when used as the initial therapy, although less benefit was seen in patients with illness of longer duration. Such persistent illness underscored the need for newer diagnostic modalities to achieve enhanced therapies.

As the mechanism of action for ciguatoxins is well characterized, the acute presentation is understood. However, the chronic syndrome appears more complex than the result of transient nervous injury and peripheral neuropathies. As literature on chronic inflammatory response syndromes emerged [1,8,16,25], many similarities to CC were noted. The current study employed newly available laboratory blood tests, especially the regulatory neuropeptides alpha melanocyte stimulating hormone (MSH) and vasoactive intestinal polypeptide (VIP); the split product of complement component C4, C4a; and transforming growth factor beta (TGF\beta1) to determine if CC results from a complex dysregulation of innate and adaptive immune responses. We additionally sought to identify if HLA DR haplotypes, recently found predictive for other chronic illnesses of similar characteristics, were predictive of CC. A two tiered approach of (i) medical history, symptom rosters and visual contrast sensitivity (VCS) testing, coupled with (ii) lab testing of HLA DR, and multiple measures of immune response successfully identified cases of CC as markedly different from controls and other non-biotoxin-associated, chronic illnesses such as asthma, somatoform disorder, allergy and depression.

Aspects of inflammatory pathways critical to the data were evaluated to advance understanding of how these pathways may contribute to the illness. This is the first paper to describe any underlying pathophysiology in the CC syndrome and is meant to

expose its elements for discussion, diagnosis, treatment and future 132 work. The work is exploratory; it is a building block and not an 133 absolute presentation of endpoints.

#### **2. Methods** 135

#### **2.1. Patients** 136

For all cases and controls, medical history was obtained concerning 137 possible confounders, including, but not limited to other biotoxin 138 exposure (other dinoflagellates, fungi, actinomycetes, mycobacteria, 139 endotoxin-producing bacteria, cyanobacteria, apicomplexans and 140 spirochetes), undiagnosed neurologic disease, alcoholism, occupa- 141 tional exposure to solvents, petroleum products, known neurotox- 142 icants and metal fumes. For cases, differential diagnosis techniques 143 were used to determine whether or not a cause of illness other 144 than ciguatera could be identified. Patients were included as CC cases 145 (N=59) if they were considered to have (1) developed an acute 146 illness typical of ciguatera following piscivorous reef fish consump- 147 tion, (2) no confounding illnesses and (3) symptoms that persisted 148 beyond three months. Confirmation of the presence of ciguatoxin 149 by testing in fish was not required for diagnosis as such testing (i) is 150 rarely readily available in all locations (ii) more often than not, there 151 is no remaining fish after the meal or remaining fish has been 152 discarded. Patients coming to the clinic for well-physicals were 153 included as controls (N=59) if they had (i) no illness of any kind 154 requiring acute intervention during that office visit; (ii) no history of 155 acute multisystem illness after consumption of fish, or multisystem, 156 multisymptom illness following exposure to environmentally pro- 157 duced biotoxins as described above; (3) any untreated chronic illness. 158 Patients meeting inclusion criteria received a physical examination 159 and blood analyses. Pregnant or nursing patients were excluded from 160 study participation. All participants signed a HIPAA waiver permitting 161 use of their clinical data. Internal review board (IRB) approval for 162 retrospective analysis was obtained from the Copernicus Group IRB, 163 Cary, NC. Participants were not remunerated for study participation. 164

#### 2.2. Vision testing

Visual contrast sensitivity (VCS) testing measures the eye's ability  $^{166}$  to resolve patterns and was performed by an experienced physician  $^{167}$  using a previously published protocol [45]. Visual acuity and VCS  $^{168}$  testing were administered monocularly, with patients wearing any  $^{169}$  necessary corrective lenses, under a "daylight" illuminator (exceeding  $^{170}$  70 fL) in a clinical unit with normal background lighting. A test card  $^{171}$  holder was used to position the acuity and VCS test cards at a constant,  $^{172}$  standardized distance (acuity - 36 cm, contrast sensitivity - 46 cm).  $^{173}$ 

Visual acuity using Snellen score (e.g. 20/20) was determined for 174 each eye using the acuity test card (MIS Pocket Vision Guide, © 1997 175 MIS, Inc.). To avoid confounding the VCS results, a visual acuity of 176 20:50 or better was required for each eye to be included in analysis. 177 All participants had at least one eye included in analysis (N=112 in 178 cases, N=113 in controls). Two-tailed Student t-tests were per-179 formed, using the mean score  $\pm$  s.e.m. of each participant's two eyes, 180 to determine if acuity scores differed significantly (0.05) between 181 cohorts.

The contrast sensitivity test card (Functional Acuity Contrast Test 183 (FACT), Stereo Optical Co., Chicago, IL) contained a matrix  $(5 \times 9)$  of 184 circles filled with sinusoidal gratings (dark and light bars) with spatial 185 frequencies of 1.5, 3, 6, 12 and 18 cycles/° of visual arc. The grating 186 bars were oriented either vertically, or tilted 15° to the left or right. 187 Subjects identified the orientation of the grating by saying either: 188 vertical, left, right or blank. The contrast sensitivity score for each row 189 (spatial frequency) was recorded as the contrast of the last circle 190 correctly identified on that row following verification by repeated 191 testing of that circle. The procedure was repeated for each row in 192

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descending order. The units of analysis for the VCS test were the mean scores  $\pm$  s.e.m. of the participant's two eyes at each spatial frequency.

#### 2.3. Blood tests

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Laboratory measurements were performed by CLIA licensed facilities, LabCorp, Quest Diagnostics, National Jewish Center and Cambridge Biomedical. Testing included HLA DR by PCR, alpha melanocyte stimulating hormone (MSH), vasoactive intestinal peptide (VIP), leptin, matrix metalloproteinase 9 (MMP9), split product of complement component 3 (C3a) and component 4 (C4a), transforming growth factor beta-1 (TGFβ1), IgG for gliadin (AGA), and IgM for cardiolipin (ACLA), vascular endothelial growth factor (VEGF), plasminogen activator inhibitor (PAI-1), cortisol, erythrocyte sedimentation rate, C-reactive protein (CRP), lipid profile, complete blood count (CBC), comprehensive metabolic panel (CMP), gammaglutamyl transpeptidase (GGTP), thyroid stimulating hormone (TSH), lipid profile, and von Willebrand's profile. Patients were classified abnormal for von Willebrand's antigen for results either <50 or >150 IU. Dysregulation of simultaneously measured ACTH/cortisol and ADH/osmolality was determined by adding (i) the number of cases with absolute high (ACTH>45 or cortisol>21; ADH>13 or osmolality>300) or low (ACTH<5 or cortisol<4; ADH<1.3 or osmolality<275) values for the two paired tests; to the cases (ii) in which ACTH was below 10 when cortisol was below 7; or ADH was below 2.2 when osmolality was 292-300; to the cases (iii) in which ACTH was > 15 when cortisol was > 16; and ADH > 4.0 when osmolality was 275–278.

#### 2.4. Statistical methods

There were 37 symptoms and 22 blood parameters measured in this study for a total of 59 variables not including VCS. Because of this multiplicity problem, the Bonferroni correction was applied to symptom and blood variables which resulted in a single variable p-value being considered statistically significant if p<001 (.05/59 rounded) in order to have an experiment wise p<05. The units of analysis for the VCS test were the mean scores of the participant's two eyes at each spatial frequency. The VCS data were analyzed using multivariate analyses of variance (MANOVA, with the Wilks' lambda statistic) procedures suitable for repeated measures with an  $\alpha = 0.05$ . The factors in this model were group, spatial frequency, age and their interaction terms. A factor for gender was not included, as no gender differences in susceptibility to ciguatoxin-induced effects had been indicated, and no gender differences in VCS have been reported. Results further showed that a significant group-byspatial-frequency interaction were further analyzed in step down, two-tailed Student's t-tests ( $\alpha = 0.05$ ), the equivalent of a univariate ANOVA, to determine which spatial frequencies accounted for the overall effect.

#### 2.4.1. Symptoms

The prevalence of each symptom in the illness and control groups was compared for statistical significance (p<0.001) using Fisher's exact test.

#### 2.4.2. Blood testing parameters

For each blood parameter, the difference between the two groups was tested for statistical significance (p<0.001) using the two-tailed two-sample Student t-test.

#### 246 2.4.3. Statistical program

JMB of SAS was used for data analysis.

### 2.4.4. VCS

The VCS data were analyzed using multivariate analyses of variance procedures suitable for repeated measures. The factors in

the model were group, spatial frequency, and their interaction. A 251 significant (p<0.05) overall group-by-spatial-frequency interaction 252 was further analyzed by a two-tailed Student t-test at each spatial 253 frequency to determine which frequencies accounted for the effect. 254

#### 2.4.5. HLA haplotype relative risk

Differences in relative risk were assessed using incidence in cases 256 to incidence in an established control population (N=111) [46]. 257 Results were considered significant if the ratio exceeded 2.0.

#### **3. Results** 259

#### 3.1. Patient demographics

The 118 patients were predominately Caucasian with five African 261 Americans (2 cases, 3 controls) and two Asian Americans (1 case, 1 262 control). Putative cases were selected from patients seeking therapy 263 for a chronic illness and meeting inclusion criteria described in 264 Methods. Based on location for acquisition of illness (Supp. Table 1) 265 we feel the majority of cases were exposed to the less potent 266 Caribbean ciguatoxin. Mean age was 51 years for the 39 female 267 and 20 male controls, and 50 years for the 17 female and 42 male 268 cases. Although gender was not evenly matched, diagnostic facilities 269 (LabCorp, etc.) directly communicated there are no known gender 270 differences in normative values for blood tests presented here. 271 Additionally, comparisons were broken out by gender (Supp. Table 2) 272 with similar results.

#### 3.2. Symptom roster

Patients identified the presence or absence of 37 different 275 symptoms (Table 1). For all symptoms except sinus congestion and 276 joint pain the prevalence among cases was significantly higher than 277 controls (p<001). The greatest separation (occurrence of symptom in 278 cases minus occurrence in controls) was seen for light sensitivity, 279 memory impairment, and fatigue; while the greatest sensitivity 280 (occurrence in cases divided by occurrence in controls) was seen for 281 unusual pain, cramping, ice pick pain, and confusion. Controls had few 282 of the queried symptoms, but paralleled those seen in control groups 283 for similar studies [47,48].

#### 3.3. Visual testing

Visual contrast deficits have been shown after a number of neu-286 rologic insults such as mercury exposure [12], Parkinson's disease [9], 287 and organic solvent exposure [19] among others. No significant 288 differences were noted between cases and controls for visual acuity. 289 However, cases showed a significant (p<0.005) pattern of depressed 290 VCS at all frequencies with a maximal shift from 6 cycles/° of visual arc 291 to 3 cycles/° of visual arc (Fig. 1).

#### 3.4. Blood tests 293

Not all patients were subjected to the complete battery of diag- 294 nostic blood tests as severity of immune dysfunction was only 295 apparent after analysis of the first 30 cases. Increased relative risk 296 (>2.0) was seen for three haplotypes of HLA DR: 1) DRB1–4, DQ-3 and 297 DRB4–53; 2) DRB1–4, DQ-7/8 and DRB4–53; and 3) DRB1–11, DQ-3, 298 DRB3–52B (Supp. Table 3). Statistical differences (p<0.001) were 299 seen between cases and controls for (i) serum protein measures of 300 the immune parameters; alpha melanocyte stimulating hormone 301 (MSH), vasoactive intestinal peptide (VIP), matrix metalloproteinase 302 9 (MMP9), split product of complement component 4 (C4a), trans-303 forming growth factor beta-1 (TGF $\beta$ 1); (ii) autoimmune parameters of IgG for gliadin (AGA), and IgM for cardiolipin (ACLA); 305 (iii) clotting parameters of von Willebrand's profile and (iv) hormone

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Table 1 Symptom roster. The number of cases and controls reporting symptoms by group.

| t1.2<br>t1.3 | Number of subjects reporting symptom by group and symptom |                |                  |                      |  |  |
|--------------|---|----------------|------------------|----------------------|--|--|
| t1.4         | Symptom   | Group          |                  |                      |  |  |
| t1.5         |   | Control (n=59) | Ciguatera (n=59) | p-value <sup>a</sup> |  |  |
| t1.6         | Fatigue   | 11             | 56               | <.001                |  |  |
| t1.7         | Weak  | 5              | 44               | <.001                |  |  |
| t1.8         | Ache  | 5              | 45               | <.001                |  |  |
| t1.9         | Cramp   | 1              | 39               | <.001                |  |  |
| t1.10        | Unusual pain  | 0              | 35               | <.001                |  |  |
| t1.11        | Ice pick pain   | 1              | 37               | <.001                |  |  |
| t1.12        | Headache  | 11             | 47               | <.001                |  |  |
| t1.13        | Light sensitivity   | 5              | 51               | <.001                |  |  |
| t1.14        | Red eyes  | 3              | 29               | <.001                |  |  |
| t1.15        | Blurred vision  | 5              | 37               | <.001                |  |  |
| t1.16        | Tearing   | 6              | 29               | <.001                |  |  |
| t1.17        | Sinus   | 19             | 32               | 0.008                |  |  |
| t1.18        | Cough   | 11             | 30               | <.001                |  |  |
| t1.19        | Shortness of breath                                       | 8              | 45               | <.001                |  |  |
| t1.20        | Abdominal pain  | 8              | 41               | <.001                |  |  |
| t1.21        | Diarrhea  | 3              | 42               | <.001                |  |  |
| t1.22        | Joint pain  | 16             | 31               | 0.003                |  |  |
| t1.23        | Morning stiffness   | 2              | 24               | <.001                |  |  |
| t1.24        | Memory  | 7              | 52               | <.001                |  |  |
| t1.25        | Focus/concentration                                       | 3              | 45               | <.001                |  |  |
| t1.26        | Word recall   | 5              | 40               | <.001                |  |  |
| t1.27        | Decrease assimilation                                     | 4              | 35               | <.001                |  |  |
| t1.28        | Confusion   | 1              | 37               | <.001                |  |  |
| t1.29        | Disorientation  | 1              | 22               | <.001                |  |  |
| t1.30        | Skin sensitivity  | 1              | 27               | <.001                |  |  |
| t1.31        | Mood swings   | 4              | 43               | <.001                |  |  |
| t1.32        | Appetite  | 2              | 29               | <.001                |  |  |
| t1.33        | Sweats  | 3              | 33               | <.001                |  |  |
| t1.34        | Temp regulation   | 6              | 33               | <.001                |  |  |
| t1.35        | Thirst  | 5              | 33               | <.001                |  |  |
| t1.36        | Increased urination                                       | 4              | 34               | <.001                |  |  |
| t1.37        | Static shocks   | 1              | 28               | <.001                |  |  |
| t1.38        | Numbness  | 4              | 33               | <.001                |  |  |
| t1.39        | Tingling  | 5              | 43               | <.001                |  |  |
| t1.40        | Vertigo   | 4              | 29               | <.001                |  |  |
| t1.41        | Metallic taste  | 1              | 31               | <.001                |  |  |
| t1.42        | Tremor  | 1              | 15               | <.001                |  |  |

<sup>&</sup>lt;sup>a</sup> From testing hypothesis of no difference between groups.

relationships of ACTH compared to simultaneously measured cortisol (ACTH/cortisol), and comparison of ADH to simultaneously measured osmolality (ADH/osmolality) as described in Methods (Table 2). No significant differences between cases and controls were seen for C3a,

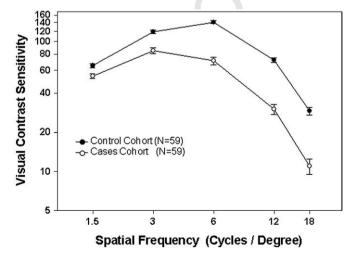


Fig. 1. Mean visual contrast sensitivity by spatial frequency show a comparison of visual contrast sensitivity between age matched controls and chronic ciguatera cases. The values of the spatial frequencies score are plotted as means of right and left eyes. Error bars indicate standard error. Significant (p<0.005) differences were noted at all frequencies.

VEGF, PAI-1, ACTH, cortisol, erythrocyte sedimentation rate, CRP, lipid 311 profile, CBC, CMP, GGTP, TSH or leptin. Of note is that cases presented 312 with a bimodal distribution of VEGF with deficiency (<31) or 313 elevation (>86) seen in 31 of 43 patients compared to 10 of 49 314 controls. These laboratory abnormalities identify a complex syndrome 315 marked by host responses of inflammation, autoimmunity and 316 coagulopathy.

Use of a two tiered structure for case definition served to separate 318 all CC cases from all controls. All cases and no controls had the 319 presence of a multisymptom illness from at least four organ systems, 320 without confounders, persisting following consumption of a fish meal. 321 Analysis of testing showed all 59 cases but no control subject 322 presented with at least 4 of nine objective parameters including VCS 323 deficits, HLA DR from a roster with a relative risk that exceeded 2.0, 324 MSH, VIP, C4a, TGF\u00e31, MMP9, ACTH/cortisol and ADH/osmolality 325 abnormalities (Table 3a). Not all patients were subjected to the 326 complete battery of diagnostic blood tests as severity of immune 327 dysfunction was only apparent after analysis of the first 30 cases. 328 Although we could only include roughly half the study subjects 329 for markers of TGF\u00e31, C4a and VIP, adding these tests aided case 330 definition in mean number and distribution of abnormalities 331 (Table 3b). The values given for case definition threshold in Table 3a 332 are upper limit normative ranges defined by the testing facility 333 (Quest, LabCorp, etc.).

#### 4. Discussion 335

The results of this study identify, for the first time, that a series 336 of immune abnormalities are present in chronic ciguatera cases. 337 Objective identification of these abnormalities can now be routinely 338 performed and should speed the advent of treatments for victims 339 who may suffer with this syndrome for years. The discussion of these 340 data and abnormalities involves various biochemical pathways 341 and mechanisms, not always in a confluent format, but valuable to 342 understanding the pathophysiological backdrop.

Table 2 t2.1 Individual study parameters. The mean and standard deviation results of cases and controls by group, with N subjects for each test.

Mean, sample size, and standard deviation of age, total number of symptoms, and blood parameters by group

| Variable                    | Controls |    |      | Cases  |    |      | p-value <sup>a</sup> | t2.4  |
|-----------------------------|----------|----|------|--------|----|------|----------------------|-------|
|                             | Mean     | N1 | s.d. | Mean   | N2 | s.d. |                      | t2.5  |
| Age                         | 51.1     | 59 | 12.1 | 50.7   | 59 | 14.4 | 0.890                | t2.6  |
| Total number Of<br>Symptoms | 3.1      | 59 | 2.3  | 22.4   | 59 | 7.4  | <.0001               | t2.7  |
| VIP                         | 35.5     | 8  | 10.7 | 7.1    | 24 | 5.9  | <.0001               | t2.8  |
| MSH                         | 34.9     | 57 | 12.2 | 9.8    | 59 | 6.7  | <.0001               | t2.9  |
| Leptin                      | 18.3     | 55 | 26.3 | 13.5   | 53 | 15.8 | 0.250                | t2.10 |
| ADH                         | 3.9      | 52 | 2.0  | 1.6    | 54 | 1.5  | <.0001               | t2.11 |
| Osmo                        | 290.2    | 52 | 4.8  | 296.8  | 53 | 10.1 | <.0001               | t2.12 |
| ACTH                        | 22.6     | 53 | 12.5 | 26.1   | 47 | 30.1 | 0.436                | t2.13 |
| Cortisol                    | 15.5     | 54 | 18.3 | 16.6   | 46 | 9.1  | 0.715                | t2.14 |
| CRP                         | 2.2      | 51 | 2.8  | 2.1    | 52 | 2.4  | 0.728                | t2.15 |
| MMP-9                       | 266      | 59 | 138  | 510    | 51 | 314  | <.0001               | t2.16 |
| PAI-1                       | 5.5      | 53 | 6.0  | 10.3   | 43 | 14.6 | 0.033                | t2.17 |
| VEGF                        | 70.2     | 46 | 38.8 | 69.0   | 42 | 98.1 | 0.943                | t2.18 |
| IgE                         | 45.9     | 51 | 67.9 | 62.7   | 27 | 87.5 | 0.350                | t2.19 |
| TSH                         | 2.5      | 53 | 1.5  | 2.1    | 32 | 1.5  | 0.257                | t2.20 |
| vWF                         | 0.08     | 13 | 0.28 | 0.64   | 23 | 0.58 | <.0001               | t2.21 |
| ACLA-IgA                    | 0.02     | 58 | 0.13 | 0.06   | 34 | 0.24 | 0.883                | t2.22 |
| ACLA-IgM                    | 0.05     | 57 | 0.23 | 0.28   | 40 | 0.45 | 0.002                | t2.23 |
| ACLA-IgG                    | 0.04     | 57 | 0.19 | 0.03   | 34 | 0.17 | 0.885                | t2.24 |
| AGA-IgA                     | 0.04     | 57 | 0.19 | 0.11   | 35 | 0.32 | 0.138                | t2.25 |
| AGA-IgG                     | 0.05     | 57 | 0.23 | 0.47   | 36 | 0.51 | <.0001               | t2.26 |
| СЗа                         | 258      | 39 | 181  | 328    | 29 | 250  | 0.184                | t2.27 |
| C4a                         | 2324     | 41 | 1212 | 10,640 | 29 | 5859 | <.0001               | t2.28 |
| TGFβ-1                      | 2076     | 12 | 1011 | 8296   | 19 | 4535 | <.0001               | t2.29 |

<sup>&</sup>lt;sup>a</sup> From testing hypothesis of no difference between groups.

t2.30

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**Table 3a**Case definition parameters.

| t3.2<br>t3.3 | Parameters                  | % + Cases (n = 59) | % + Controls (n = 59) |
|--------------|-----------------------------|--------------------|-----------------------|
| t3.4         | VCS deficit                 | 96                 | 2                     |
| t3.5         | HLA DR RR>2                 | 61                 | 26                    |
| t3.6         | MSH<25 pg/mL                | 95                 | 13                    |
| t3.7         | VIP<23 pg/mL                | 96                 | 0                     |
| t3.8         | C4a>2830 ng/mL              | 89                 | 25                    |
| t3.9         | TGFβ-1>2380 pg/mL           | 89                 | 25                    |
| t3.10        | MMP9>332 ng/mL              | 61                 | 22                    |
| t3.11        | ADH/osmo dysregulation      | 83                 | 14                    |
| t3.12        | ACTH/cortisol dysregulation | 54                 | 13                    |

#### 4.1. VGSC

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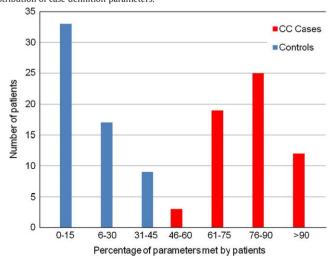
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Ciguatoxins are extremely potent voltage gated sodium channel (VGSC) activators, exerting their acute effects predominantly on the peripheral nervous system. Although this mechanism of action explains the acute neuropathies acquired after exposure, the physiologic basis for the chronic syndrome was largely unapparent. VGSCs have now been characterized in several types of non-excitable cells and studies have shown that these channels contribute to activation of inflammatory pathways in many immune cells [42]. In a study of microglial and macrophage activation, Craner et al. [13] demonstrated correlation between up-regulation of VGSC 1.6 and transition from resting state to activated phenotypes in MS and experimental autoimmune encephalitis (EAE). Moreover, they demonstrated the utility of phenytoin, a VGSC blocker, in mitigation of EAE. Carrithers et al. demonstrated VGSC 1.5 plays an active role in macrophage endosomal acidification and phagocytosis, an important component of antigen processing by dendritic cells. The authors posit that hyperacidification through VGSC 1.5 activation in macrophages is comparable to that seen in cystic fibrosis, and further suggest, this mechanism may play a role in chronic infections and autoimmune disease [10]. VGSCs were also found to regulate invasive/motile properties in Jurkat cells, a T-cell line [18]. Brevetoxin, another dinoflagellate toxin, similar to ciguatoxin in structure and identical in mode of action, was found localized to macrophages and lymphocytes in natural exposures of manatees [6]. During preparation of this manuscript, a new study revealed that exposure of macrophages to P-CTX-1 elicited a response similar to that of lipopolysaccharides at the mRNA level [30]. Exposure to ciguatoxins may have a bimodal effect, quickly damaging sensitive neurons, while also generating

**Table 3b**Distribution of case definition parameters.



highly activated immune cells. Damaged cells can release a class of 373 endogenous pro-inflammatory molecules termed alarmins, which 374 then initiate both innate and adaptive immune responses to aid in 375 repair and removal of injured tissue [35]. Alarmins have been shown 376 to interact with Toll-like receptors, classical receptors for initiating 377 an innate immune response [4]. A genomic study in liver of acute 378 ciguatoxin exposure in mice showed several alarmin (defensins/ 379 cryptdins) genes were up-regulated at 4 and 24 h post toxin exposure 380 [33]. In whole blood of these animals, significant immune system 381 activation was seen, the authors citing the data set had many genes 382 known to be important in allergic asthma models, although the gene 383 expression was confounded by the rodent's hypothermic response to 384 toxin [43].

4.2. C3a, C4a 386

The complement system is a component of both innate and 387 adaptive immune responses. Patients in this cohort had four times the 388 upper limit normative value for the anaphylatoxin C4a, although near 389 normal levels of C3a, a product just downstream of C4 activation. 390 Complement activation through both the classical pathway and 391 mannose binding lectin system will generate increased levels of 392 C4a. Autoactivation of the C4 protease MASP2 has been reported, 393 which could lead to persistent elevation of C4a [54]. The diversity of 394 C4 genotypes can also influence disease progression [39]. The C4 gene 395 locus resides in the HLA class III region and aside from simple 396 polymorphic bases, this gene typically varies in diploid copy number 397 (2–6, although > 6 in rare instances), size (long and short forms), and 398 isotypes (A and B, for acidic and basic) [5]. In the Caucasian population 399 the maximal gene dosage of 6 has a frequency of 3.3% [5], a proportion 400 not dissimilar to progression of acute to chronic ciguatera. Recent 401 studies have shown interaction between the complement and 402 coagulation systems with evidence of shared inhibitors and activators 403 [1]. In particular, platelets and platelet microparticles can activate C4 404 in the absence of immune complexes [38].

#### 4.3. Von Willebrand's profile

Results of von Willebrand's profile for CC patients show that the 407 acute phase reactant Factor VIII remains abnormal, as does ristocetin 408 associated cofactor and von Willebrand's antigen itself. Although 409 unexplained bleeding is rare, disturbances in coagulation pathways 410 are commonly seen in CC patients, just as in other chronic inflam-411 matory response syndromes [41]. These protein level abnormalities 412 would most likely result in abnormal coagulation times, although this 413 theory has never been clinically tested for CC.

#### 4.4. Neuropeptides VIP and MSH

The regularly observed deficits in two neuropeptides, vasoactive 416 intestinal peptide (VIP) and melanocyte stimulation hormone (MSH), 417 both neuroendocrine regulators of inflammatory responses, suggests 418 an absence of regulation of inflammation in the development 419 and persistence of CC. These two neuropeptides have profound anti- 420 inflammatory effects both in vivo and in vitro; each shows great 421 promise for treatment of inflammatory disease progression (for 422 excellent reviews see [8,16]). Deficiency in these neuropeptides can 423 be acquired either acutely or delayed, as well as through diverse 424mechanisms such as acute brain injuries [28] or persistent viral 425 infection [51]. Although both VIP and MSH have specific receptors in 426 immune cells, MSH is also thought to directly antagonize the classic 427 inflammatory interleukin-1ß receptor [34]. Receptor density and 428 affinity for these peptides have been proven crucial to function. VIP 429 can enhance newly defined inflammatory Th17 differentiation path- 430 ways through VIP receptor type 1 (VPAC1) [55] while VPAC2 levels 431 are critical in maintaining Th1 and Th2 states in CD4+ T cells of MS 432

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patients [50]. Furthermore, VIP receptor agonists in rats showed efficacy in protection against Alzheimers related learning impairment [21] while deficiency was shown to cause cognitive deficits in mice [11], a common symptom of cases in this cohort. Another critical role for these neuropeptides is the induction of tolerogenic dendritic cells and generation of T regulatory cells (Tregs), which suppress autoreactive T cells and autoimmune progression [16]. Even in healthy individuals autoreactive T cells can escape clonal deletion and must be policed in the periphery by Tregs to prevent pathologic autoimmunity [14]. Of note, these CC study patients with deficiency of VIP and MSH show evidence of autoimmune findings in elevated anti-gliadin and anti-cardiolipin antibodies.

#### 4.5. TGFβ1

This cytokine has wide ranging effects on the immune system, including important roles in autoimmune and inflammatory disease. However, timing, duration and target tissue are important aspects for its protection or pathological activity, so the effects of TGF\beta1 elevation seen in CC have yet to be fully understood. Similar to VIP and MSH, TGF\u00e31 can regulate T-cell differentiation pathways and is considered anti-inflammatory [25]. Matrix metalloproteinase-9 (MMP9), whose expression is up-regulated by TGF\u00e31, can influence disease progression by both tissue destruction and cytokine processing and its elevation is also characteristic of many inflammatory and autoimmune conditions [53]. Elevated levels of both MMP9 and TGF\u03B1 have been reported in systemic sclerosis, a generalized disorder of the microvasculature characterized by excessive fibrosis [40]. Further, the role of TGFβ1 as a stimulant to pro-fibrotic effects in lung parenchyma, including epithelial to mesenchymal transformation, may support an explanation of restrictive pulmonary function seen in these current cases [29] (data not shown).

The incidence of developing CC from acute ciguatera remains relatively low (5%) and it is unknown as to what generates this transition. Curiously, the incidence of chronic ciguatera parallels the incidence of particular HLA haplotypes (Supp. Table 3). Although a relatively small cohort size for HLA allele analysis, cases showed an increased relative risk for certain immune haplotypes. Findings of increased risk associated with HLA DRB1–4 in chronic disease, as seen in this cohort, are not uncommon and were also seen in patients with persistent illness from Lyme disease [49], water damaged building cases [46], autoimmune hepatitis [32], severe malaria [36], pulmonary tuberculosis [22] and rheumatoid arthritis [20], among other illnesses. Additionally, expression of HLA DR genes in antigen presenting cells can be regulated by cytokine and Th1/Th2 ratio [24], parameters that are influenced by the immunomodulators already discussed.

Studies of chronic ciguatera (CC) are largely anecdotal case reports, with recording of symptoms but without laboratory testing. Given that many chronic medical conditions may present with similar symptoms, especially those for which objective diagnostic laboratory parameters have not been defined, greater accuracy in diagnosis of CC would be provided by a case definition that includes objective lab testing. Use of symptom recording in a medical history followed by visual contrast sensitivity (VCS) testing is inexpensive and rapid, making these measures ideally suited for screening large numbers of patients, especially in endemic areas of the Caribbean and South Pacific. When combined, an episode of reef fish consumption, presence of symptoms from four organ systems and VCS deficits, with an absence of other known biotoxin exposures, identified 57/59 CC patients. Given that the objective lab parameters identified all 59 CC cases, but results may take 30 days, the use of symptom clusters and VCS could provide a non-invasive, rapid and reliable on-site screening tool that allows immediate therapy while specific blood labs are run. The later-arriving lab results will guide subsequent therapies beyond initial intervention using CSM, understanding that resolution of CC requires reduction of symptoms, correction of VCS deficits and correction of elevated MMP9, C4 and TGF beta-1. What remains 497 unexplored in CC is the effect of repeated sub-acute exposures, as 498 repeat exposures in other biotoxin associated illnesses lead to 499 enhanced C4a and TGF bet-1 response and not reduction of response 500 (Shoemaker 2005, 2006). As long as 25 years ago, measurable 501 ciguatoxin was reported in most reef fish of endemic areas of French 502 Polynesia [3] while more recently, a study of barracuda from the 503 Florida Keys showed 60% were positive for ciguatoxins [15]. 504 Interestingly, a ciguatoxin like epitope was identified in CFS patients 505 using a monoclonal antibody [23].

#### **5. Conclusions** 507

These data support a complex interaction of environmental 508 exposure, genetics, innate and adaptive immunity, and neuropeptide 509 regulatory mechanisms in patients with CC. Along with abnormal 510 neuropeptide regulation, increased autoimmune findings and genetic 511 susceptibility we document increased levels of the inflammatory 512 mediators TGF β1, MMP9 and C4a. These findings are consistent with 513 the hypothesis that CC is an illness characterized by immune 514 dysregulation based on genetic control of host responses. Further 515 research is required. This immune dysregulation seen in CC parallels 516 that seen in other chronic inflammatory response syndromes (CIRS) 517 initiated in diverse diseases such as sepsis [41], acute liver failure [2] 518 and acute multiple trauma [52]. The differences in self-limited acute 519 illness versus development of chronic illness may be related to HLA 520 genotype, dysregulation of antigen presentation or policing of 521 autoreactive T cells as seen in some of the above diseases. Now that 522 such abnormalities are known to be routinely found in CC cases, these 523 markers not only help identify the illness but also provide a basis 524 for targeted therapies and monitoring of sequential intervention. 525 As research of the chronic illness caused by ciguatoxins expands, 526 additional delineation of the physiologic basis of fatigue, cognitive, 527 neurologic, rheumatologic, respiratory, and other symptoms may 528 permit sub-typing of cases, leading to improved therapies. 529

#### **Conflict of interest statement**

RS has provided testimony in litigation regarding ciguatera.

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in 544 the online version, at doi:10.1016/j.ntt.2010.05.007.

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